

# City Of Mount Carroll

City Hall

302 North Main Street  
Mount Carroll, Illinois 61053  
815-244-4424

March 23, 2020

Director's Office  
Illinois Department of Commerce and Economic Opportunity  
500 East Monroe  
Springfield, Illinois 62701

Dear Director:

The City of Mount Carroll is submitting an application for a Sidewalks grant funded from the Community Development Block Grant (CDBG) Revolving Loan Fund Closeout. The grant request is in the amount of \$119,777.16 to be used towards the replacement of non-ADA compliant sidewalk, crosswalks and ramps. The CDBG assisted activity meets the low and moderate-income persons National Objective. The City of Mount Carroll will contribute \$133,358 from its Business Development District fund toward the completion of the project.

I certify that this application complies with the eligibility threshold(s) of the low and moderate-income persons National Objective; and, if not proffering a community-wide benefit, this project will benefit a service-area that is primarily residential.

Very truly yours,

A handwritten signature in cursive script that reads "Carl R. Bates".

Carl R. Bates  
Mayor

**Revolving Loan Fund Closeout Application Submission Checklist**

All CDBG applications will be screened for completeness. Applicants must complete and submit this checklist with the application. **All pages of the application must be sequentially numbered.** Use the right-hand column, labeled "Page Number" to indicate the page for each item. (,)

- ✓ Original grant application (*indicate the "original" on the cover*)
- ✓ A complete copy of the grant application

**PROJECT INFORMATION**

**PAGE NUMBER**

✓ Letter of Transmittal from Chief Elected Official	<u>1</u>
✓ Completed Submission Checklist (This Page)	<u>2-3</u>
✓ *State of Illinois-DCEO Uniform Grant Application (See Section IX, Attachment C)	<u>4-7</u>
✓ CDBG Applicant Project Information	<u>8</u>
✓ Project Summary	<u>9-12</u>
✓ Project Maps	<u>13</u>
✓ FEMA Issued Floodplain Map	<u>14</u>
✓ Working Cost Estimate	<u>15</u>
✓ Cost estimates from Engineer, Vendor, or Inspector	<u>16-17</u>
✓ Minority Benefit/Affirmative Housing Statement	<u>18</u>

\* Uniform Grant Application - Lines 23-30 should contain the grant administrator’s contact information; lines 45-51 should contain the chief elected official’s contact information; and line 52 should be signed by the Chief Elected Official as the “Authorized Representative.”

**DOCUMENTATION, CERTIFICATIONS, RESOLUTIONS**

✓ Council Resolution of Support <b>and</b> Resolution Committing Local Funds <b>or</b> Combined Resolution <i>(If using local funds, you MUST indicate the account the funds are in)</i>	<u>19</u>
<b>Citizen Participation: 7-Day Notice/Public Hearings</b>	
✓ Newspaper clipping,	<u>20</u>
✓ Publisher’s certification	<u>20</u>
✓ Certified minutes	<u>21-25</u>
✓ Attendance sheet(s)	<u>26</u>
✓ Local Government Certifications	<u>27</u>

**ATTACHMENTS**

✓ W-9	<u>28</u>
✓ SAM Registration (CAGE #)	<u>29</u>
✓ IRS Certification Letter	<u>30</u>
✓ GATA Registration Printout	<u>31-33</u>

**PROGRAM SPECIFIC REQUIREMENTS**

**Public Infrastructure, Street Improvements, Sidewalks or Rehabilitation (Façade Improvement)**

<u>N/A</u> Firm documentation of commitment from leveraging source(s) (if applicable)	<u>-</u>
<u>N/A</u> Copy of IEPA Construction Permit(s) (if available)	<u>-</u>
<u>N/A</u> Copy of water purchase or wastewater treatment agreement (if applicable)	<u>-</u>
<u>N/A</u> Copy of Option to Purchase (if applicable)	<u>-</u>
<b><u>National Objective: Benefiting Low to Moderate Income Persons</u></b>	
✓ LMISD Area Benefit Printout	<u>34-35</u>
✓ Analysis of Low-to-Moderate Benefit	<u>36</u>
<u>N/A</u> Low-to-Moderate Income Survey Summary, if applicable	<u>-</u>

<u>N/A</u>	Income Survey Worksheet(s), if applicable	-
<input checked="" type="checkbox"/>	Income Survey Map, if applicable	<u>37-38</u>
<u>N/A</u>	Request to Conduct Random Sample Survey and DCEO's response/sampling, if applicable	-
<u>National Objective: Aiding in the Prevention of Slums and Blight</u>		
<u>N/A</u>	Evidence of blight designation ( <i>Example</i> TIF District designation boundaries)	-
<input checked="" type="checkbox"/>	Photographs of the area	<u>39-42</u>

National Objective: Immediate Threat to Health and Safety

<u>N/A</u>	Health & Safety Documentation	-
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**Economic Development** - Forms may be found in Section V Economic Development, Part J

<del>_____</del>	CDBG Economic Development Fact Sheet	<del>_____</del>
<del>_____</del>	Private Investment Commitment Letter(s)	<del>_____</del>
<del>_____</del>	Analysis of Benefit to Low-to-Moderate Income Persons	<del>_____</del>
<del>_____</del>	Job Creation/Retention Impact Analysis	<del>_____</del>
<del>_____</del>	Employee Income Certification – Job Creation	<del>_____</del>
<del>_____</del>	Employee Income Certification – Job Retention	<del>_____</del>
<del>_____</del>	Business Financial Documentation Checklist and <u>all required documentation</u> for Financial Assistance or Public Infrastructure	<del>_____</del>
<del>_____</del>	Business Certification	<del>_____</del>
<del>_____</del>	Detailed narrative of all non-CDBG related activities which references all sources of financing and corresponding specific uses of funds.	<del>_____</del>

**Housing Rehabilitation** – Forms may be found in Section IV Housing Rehabilitation, Part I

<del>_____</del>	Housing Fact Sheet	<del>_____</del>
<del>_____</del>	Local General Contractors Information	<del>_____</del>
<del>_____</del>	Local Administrative Policy & Procedures Manual (one copy only)	<del>_____</del>
<del>_____</del>	CDBG Income Survey and Housing Needs Survey*	<del>_____</del>
	*Must be submitted together; as a double-sided original.	



**Illinois  
Department of Commerce  
& Economic Opportunity**

**Uniform Application for State Grant Assistance**

**Agency Completed Section**

1. Type of Submission  Pre-Application  
 Application  
 Changed / Corrected Application

2. Type of Application  New  
 Continuation (i.e. multiple year grant)  
 Revision (modification to initial application)

3. Date/Time Received By State (Completed by State Agency upon Receipt of Application)

4. Name of Awarding State Agency

5. Catalog of State Financial Assistance (CSFA) Number

6. CSFA Title

Catalog of Federal Domestic Assistance (CFDA)  Not Applicable (No federal funding)

7. CFDA Number

8. CFDA Title

9. CFDA Number

10. CFDA Title

Additional CFDA Number, if required

Additional CFDA Title, if required

**Funding Opportunity Information**

11. Funding Opportunity Number

12. Funding Opportunity Title

Competition Identification  Not Applicable

**Applicant Information**

13. Legal Name (Must match DUNS, W-9, 147C letter and grantee pre-qualification) City of Mount Carroll

14. Mailing Address (Address 1) (Address 2) (City), (State), (zip - 4) 302 N. Main Street Mount Carroll IL 61053

15. Business Phone Number 815-244-4424

16. Applicant E-mail mtcarroll@jcwifi.com

17. Employer/Taxpayer Identification Number (EIN, TIN) 36-6006009

18. Organizational DUNS Number 171029572

19. SAM Cage Code 5HJK6

20. Fiscal Year End Date April 30

**Application Writer Information**

21. First Name Jill

22. Last Name Pepin

23. Title Community Planner

24. Agency Name CFPS, Inc.

25. Agency Type Private Consultant

26. Mailing Address 118 S. Main Street, Stockton, IL 61085

27. Telephone Number 815-947-8224

28. E-mail Address jill@cfps-inc.com

29. Employer/Taxpayer Identification Number (EIN, TIN) 46-4560657

**Designated Project Manager/Activity Delivery Information**

30. First Name Jill

31. Last Name Pepin

32. Title Community Planner

33. Entity Name CFPS, Inc.

34. Entity Type Consultant

35. Mailing Address

36. Telephone Number

37. E-mail Address

38. Employer/Taxpayer Identification Number (EIN, TIN)

**Geographic Project Benefit Area Information**

39. Areas Affected by the Project (County and FIPS Code)

40. Census Tract(s) and Block Group(s)

41. Address of a Building within the Project Benefit Area

42. Legislative and Congressional District of Applicant

43. Legislative and Congressional Districts of Project

**Applicant's Project**

44. Project Description

45. Estimated Funding (Include all that apply)

<input checked="" type="checkbox"/> Amount of CDBG Funding Requested	<input type="text" value="\$119,777.16"/>
<input checked="" type="checkbox"/> Additional Local Funding	<input type="text" value="\$133,357.84"/>
<input type="checkbox"/> Additional Other Funding	<input type="text"/>
Name of Other Funding Source	<input type="text"/>
Total Amount of All Funding Sources	<input type="text" value="\$253,135.00"/>

Applicant Certification:

By signing this application, I certify (1) to the statements contained in the list of certifications\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil or administrative penalties. (U.S. Code, Title 18, Section 1001)

(\* ) The list of certification and assurances, or an internet site where you may obtain this list is contained in the Notice of Funding Opportunity. If a NOFO was not required for the award, the state agency will specify required assurances and certifications as an addendum to the application.

I Agree

**Chief Elected Official**

46. First Name

47. Last Name

48. Title

49. Telephone Number

50. E-mail Address

51. Signature of Authorized Representative

Carl R. Bates

52. Date Signed

3-10-2020

**CDBG APPLICANT PROJECT INFORMATION**

**REVOLVING LOAN FUND CLOSEOUT**

**I. TYPE OF PROJECT:**

- Public Infrastructure project (can include Design and Activity Delivery elements)
- Housing Rehabilitation
- Economic Development projects (leverage is not required)
- Street Improvements (as defined by HUD’s IDIS code 03K) including street drains, storm drains, curb and gutter work, installation of street lights or signs
- Sidewalks (as defined by HUD’s IDIS code 03L) including sidewalk improvements, and installation of trash receptacles, trees, benches or lighting when part of a streetscape project
- Rehabilitation (as defined by HUD’s IDIS code 14E) including publicly or privately owned commercial/ industrial improvements to the exterior of a commercial building (generally referred to as “façade improvements”) or to the correction of code violations

**II. PROJECT OBJECTIVE** - The project meets the following National Objective:

**BENEFITING LOW-TO-MODERATE INCOME (LMI) PERSONS**

The LMI benefit was determined by using: the LMI data from the HUD exchange site. This project is for an ADA application and LMI criteria is not applicable.

INCOME SURVEY:  Community Wide or  Service-Area

- AIDING IN THE PREVENTION OR ELIMINATION OF SLUMS AND BLIGHT
- MEETING OTHER COMMUNITY DEVELOPMENT NEEDS THAT POSE A SERIOUS AND IMMEDIATE THREAT TO THE HEALTH AND WELFARE OF THE COMMUNITY

TOTAL NUMBER OF PERSONS SERVED	TOTAL NUMBER OF LMI PERSONS SERVED	PERCENT BENEFIT TO LMI PERSONS
<u>408</u>	<u>408</u>	<u>100%</u>

**III. PROJECT ENGINEER**

CONTACT PERSON: Jared Fluhr TITLE: Project Engineer

ADDRESS AND PHONE NUMBER:

Legal Name MSA Professional Services, Inc.

Street Address 2117 State Street, Suite 200 P.O. Box \_\_\_\_\_  
(required) (Only if no street address)

City Betterdorf State IA Zip Code 52722  
(include + 4)

E-Mail jfluhr@msa-ps.com  
(required)

BUSINESS PHONE: ( 563 ) 445-3501 FAX PHONE: ( 563 ) 445-3503

FEDERAL EMPLOYER IDENTIFICATION NUMBER: 39-1016174 (required)

**CITY OF MT CARROLL**  
**RLF CLOSEOUT – DOWNTOWN SIDEWALK IMPROVEMENTS**

**Project Summary** – should consist of a narrative covering all key points of the proposed project *to be funded, in part or in full, with RLF Closeout grant funds*. This summary should include the following:

- ◆ Describe the project – What is being proposed and why. What National Objective is being met? How long has the problem existed?

Mt Carroll's proposed RLF Closeout grant project entails sidewalk replacement/improvements within the City's downtown district. The purpose is to beautify and revitalize the downtown historic district and provide a safer walking environment. Specifically, the project includes the removal and replacement of deteriorated non-ADA compliant sidewalk, curb and gutter, storm sewer, and brick roadway pavement. The proposed project will also include installing decorative bump-outs at the corners of Market and Main St's, which will allow for ADA ramp elevation transitions and streetscape features.

The immediate area to be served is the City of Mt Carroll, which is a rural City located in the center of Carroll County. Mt Carroll is located on Highway 78, which runs through the heart of the City in a north-south direction; US Route 52 runs east and west through the southern portion of the City.

Mt Carroll is the County Seat for Carroll County and per the 2010 census data, has a population of 1,717. This represents a decline of roughly 115 persons, or -6%, from the 2000 census figure of 1,832. The City's population has steadily declined over the last 40 years, with its peak being that of 2,143 in 1970.

The City of Mt Carroll is focusing on downtown sidewalk improvements to address deteriorated sidewalk and crosswalk areas, thereby providing a safer walking environment and enhancing the historic downtown shopping experience.

The City Council has been wanting to improve their historic downtown sidewalks for quite some time. The City realizes the deteriorated sidewalks create a hazard for pedestrians. The proposed sidewalk and crosswalk improvements are being completed to provide a safer walking environment that is ADA compliant, while enhancing the downtown and spurring economic growth.

All of the proposed improvements will enhance the historic downtown, making it more aesthetically pleasing to the thousands of visitors that traverse the downtown sidewalks every week. Mt Carroll's Chamber of Commerce noted that there are numerous events held each year that bring in visitors. They hold car shows multiple weekends from June through September. Every Saturday from spring until fall, the downtown hosts farmers markets. The City also holds a large event called Mayfest, which gathers several thousand people over Memorial Day weekend into Mt Carroll for live music, food vendors, along with local arts and crafts.

The proposed sidewalk project, for which the City is applying to DCEO for a Community Development Block Grant, is being done within the City's downtown historic district, which encompasses the north sidewalk of Market Street from Main Street on the west side to Clay Street (highway 78) on the east side. Their downtown is very heavy foot traffic by pedestrians, residents, tourists, and shop owners. This project is also affecting the Carroll County Courthouse, as it is located across the street.

Per the HUD Community Planning & Development (CPD) 2014 Low-to-Moderate Income Summary Data (LMISD), the City's downtown district is located within Block Group 2 Census Tract 9604 for which there is a 38.33% low-to-moderate income benefit. However, since the proposed project is an ADA application which benefits the entire population, the LMI criteria is 100%.

The engineering services associated with this project will be completed by MSA Professional Services. Whereas the administration (activity delivery) of the City's CDBG will be completed by Community Funding & Planning Services (CFPS), located in Stockton Illinois. Both activities will be paid with local funds.

The City officially closed its CDBG Revolving Loan Fund (RLF) in December 2018, and on February 27, 2019, Mt Carroll received a letter from the Illinois Department of Commerce & Economic Opportunity confirming receipt of the required documentation, noting that non-competitive CDBG funds in the amount of \$119,777.16 would be made available to the City. A copy of the DCEO letter is included on page 43.

- ◆ Describe the project area, including legal boundaries. Who is being affected and how? Indicate whether the project will have a targeted-area or community-wide benefit. Provide a detailed explanation of how this specific project area was determined.

The City's proposed Downtown Sidewalk Improvements Project is located within the corporate boundaries of the City of Mt Carroll, on property owned by or within the City's right-of-way. The specific areas of improvements include the downtown district, with information provided by the City's engineer. As such, the proposed project will have a 'targeted-area' benefit.

Per the CPD's 2014 LMISD, the City of Mt Carroll's downtown district is located within Block Group 2 Census Tract 9604, Carroll County, for which there is a 38.33% low-to-moderate income (LMI) benefit. A copy of the CPD Census Tract map is provided on page 35. Since the proposed project is an ADA application, the LMI criteria is not applicable.

- ◆ The project structure (i.e., will the residents be direct customers of the water district or is an agreement needed, what is source of water, who will treat wastewater, etc.).

The City of Mt Carroll owns the sidewalk areas within the City's corporate limits, including the downtown district. The City will repair and continue to maintain the sidewalks and crosswalks, while the building owners remain responsible for keeping the sidewalks clean and shoveled. There is no fee charged to the building owners or residents for the repair and maintenance of the downtown sidewalks. Additionally, the City of Mt Carroll owns and operates its own water system and sanitary sewer system, and provides municipal water and sewer service to residents and businesses and charges a monthly utility bill for such services.

- ◆ Describe the scope of any other activities planned or ongoing which will support the proposed project.

As stated previously, the CDBG proposed sidewalk improvements are for the removal and replacement of non-ADA compliant sidewalk, curb and gutter, storm sewer, and brick roadway pavement. Along with the proposed sidewalk improvements, decorative bump-outs will be installed at the corners of Market Street and Main Street, which will allow for ADA ramp elevation transitions and streetscape features.

This project is standalone, however, the City is aware of their aging and deteriorated downtown sidewalks. They would like to reconstruct all downtown sidewalks to provide a safe walking path for all, but funding is limited. The proposed project would be a great first step for the City in making downtown sidewalk improvements.

- ◆ Address project readiness (permits, easements, additional funding commitments)

The proposed sidewalk improvements are ready to proceed with construction once awarded the Community Development Block Grant. The design engineering has been completed and is ready for advertising. The project does not require a construction permit, and no easements are needed. Additionally, the proposed project has been determined to be Categorical Excluded from an environmental review, due to the fact that no portion of the project will be increased in size and that the land use remains the same.

- ◆ The severity and immediacy of the problem.

The City of Mt Carroll hosts thousands of shoppers, visitors and residents to the downtown district each year. The City's Chamber of Commerce noted that there are several events held each year that bring in thousands of visitors, along with their weekly car shows and farmers markets. All of the proposed improvements will enhance the historic downtown, making it a safer transportation environment and more aesthetically pleasing to the thousands of visitors that traverse the downtown sidewalks.

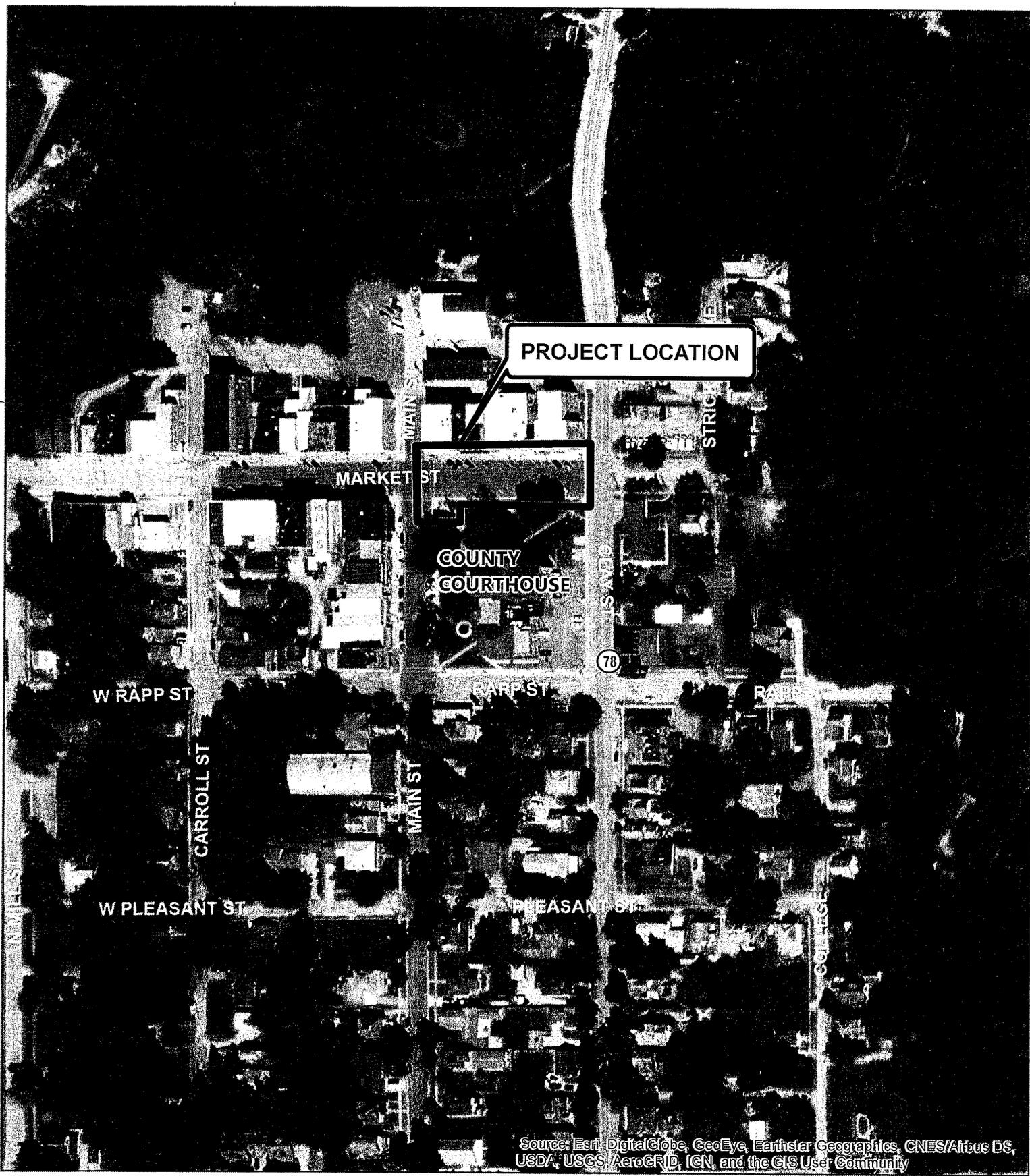
Thankfully the City has been fortunate to not have any substantial trips from the sidewalk upheaving and deteriorating. The City realizes that surrounding cities have been facing this issue, and Mt Carroll is trying to get ahead of this issue as their infrastructure ages. The proposed project will be a great step in the right direction providing their downtown residents a safe path to walk.

- ◆ Whether the project is necessary to comply with state or federal regulations.

The main compliance the proposed project will improve upon is ADA accessibility. The newly constructed sidewalk and crosswalk areas will provide a safer walking environment, one that will provide handicap accessible access at crosswalk intersections along Market St in the downtown district.

- ◆ Public Infrastructure applications must include documentation that any assessment levied against property (e.g., "tap-on" fee) occupied by low-to-moderate income persons will be waived or paid on behalf of the LMI households; and documentation that assistance to pay the cost of "connecting" (i.e., installing the privately owned and maintained line between a service lead/connection and a structure) will be offered to all residential households occupied by LMI persons. **CDBG staff reserves the right to not complete the review and deny funding, based on this threshold, when surveying guidelines have not been met.**

The City's proposed project will not involve the assessment against any properties, and no fees will be charged.

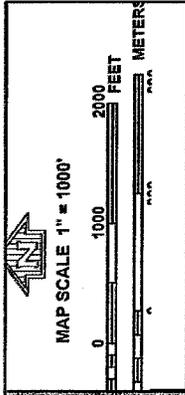


Source: Esri, DigitalGlobe, GeoEye, Earthstar Geographics, CNES/Airbus DS, USDA, USGS, AeroGRID, IGN, and the GIS User Community



# Aerial Location Map Downtown Sidewalk





**NATIONAL FLOOD INSURANCE PROGRAM**

**NFIP**

PANEL 0205C

**FIRM**  
**FLOOD INSURANCE RATE MAP**  
**CARROLL COUNTY,**  
**ILLINOIS**  
**AND INCORPORATED AREAS**

PANEL 205 OF 400  
 (SEE MAP INDEX FOR FIRM PANEL LAYOUT)

**SCALES:** 1:50,000 1:100,000 1:200,000 1:400,000

**COMBINED:** 1:100,000 1:200,000 1:400,000

**DATE:** 10/19/00 10/19/00 10/19/00 10/19/00

**MAP NUMBER:** 17016C0205C  
**EFFECTIVE DATE:** DECEMBER 17, 2010

Federal Emergency Management Agency



This is an official copy of a portion of the above referenced flood map. It was extracted using FIRM On-Line. This map does not reflect emergency work which may have been made subsequent to the date on the map. For the most current information on the status of the National Flood Insurance Program flood maps, please check the FEMA Flood Maps Store at [www.fhms.fema.gov](http://www.fhms.fema.gov)

### REVOLVING LOAN FUND CLOSEOUT WORKING COST ESTIMATE

*The Working Cost Estimate should include all funding used to complete the eligible HUD-defined activity. The CDBG Working Cost Estimate should include any contract that is paid, in part or in full, with CDBG grant funds.*

If other funds are necessary to finance the construction contract, identify all activities included within the project and the amount and source of financing.

Activity Budget	Total Amount	CDBG Request	Other Funds	Identify Other Source(s)
<b>03Jw Water Improvements</b>				
LMI Connections				
<b>03Js Sewer Improvements</b>				
LMI Connections				
<b>03I Flood Drainage Improvements</b>				
<b>03K Street Improvements</b>				
<b>03L Sidewalks</b>	\$253,135.00	\$119,777.16	\$133,357.84	Business Development District (BDD)
<b>14A Single Unit Residential</b>				
<b>14E Rehabilitation Publicly or Privately Owned Commercial Exterior</b>				
<b>14HI Rehabilitation Administration (Housing)</b>				
<b>17B Commercial/Industrial Infrastructure Development</b>				
<b>Total Construction</b>	\$253,135.00	\$119,777.16	\$133,357.84	Business Development District
Design				
Activity Delivery				
Other				
<b>TOTAL</b>	\$253,135.00	\$119,777.16	\$133,357.84	Business Development District

An Engineer's Cost estimate must be submitted and support the numbers (to be funded in part or in full with CDBG funds) in the working cost estimate; and should include specifications of the project, e.g., lineal feet of sewer, water lines, size and capacity of a water tower to be constructed, number of LMI households to be connected to a system, etc. Do not include any contracts for which CDBG funds are not used.



**DOWNTOWN SIDEWALK – PHASE 1  
MOUNT CARROLL, ILLINOIS**

ENGINEER'S ESTIMATE OF PROBABLE COSTS

ITEM NO.	ITEM DESCRIPTION	ESTIMATED QUANTITY	UNITS	UNIT PRICE	TOTAL PRICE
<b>BASE BID</b>					
1.	MOBILIZATION, BONDS, & INSURANCE	1	LS	\$ 15,000.00	\$ 15,000.00
2.	TRAFFIC CONTROL	1	LS	\$ 5,000.00	\$ 5,000.00
3.	UNCLASSIFIED EXCAVATION	1	LS	\$ 6,000.00	\$ 6,000.00
4.	SIDEWALK & CURB REMOVAL	1,706	SF	\$ 2.00	\$ 3,412.00
5.	BRICK PAVER REMOVAL & SALVAGE	2,787	SF	\$ 2.00	\$ 5,574.00
6.	CRUSHED AGGREGATE LEVELING COURSE, 2"	217	SY	\$ 6.00	\$ 1,302.00
7.	CRUSHED AGGREGATE BASE COURSE, 6"	530	SY	\$ 10.00	\$ 5,300.00
8.	BREAKER RUN, 12"	296	SY	\$ 13.00	\$ 3,848.00
9.	BRICK PAVER RE-INSTALLATION	1,955	SF	\$ 14.00	\$ 27,370.00
10.	PORTLAND CEMENT CONCRETE SIDEWALK & ADA	1,469	SF	\$ 12.00	\$ 17,628.00
11.	DECORATIVE PCC SIDEWALK, 5" (BUMP-OUT AREAS)	562	SF	\$ 16.00	\$ 8,992.00
12.	DECORATIVE SIDEWALK BOULEVARD	127	SF	\$ 18.00	\$ 2,286.00
13.	PCC PROTECTIVE SEALANT	2,158	SF	\$ 1.00	\$ 2,158.00
14.	CONCRETE CURB AND GUTTER, TYPE B.6-18, 6"	205	LF	\$ 50.00	\$ 10,250.00
15.	DETECTABLE WARNINGS (CAST IRON)	48	SF	\$ 55.00	\$ 2,640.00
16.	INLET OR MANHOLE REMOVAL	4	EA	\$ 250.00	\$ 1,000.00
17.	STORM SEWERS, 12"	98	FT	\$ 70.00	\$ 6,860.00
18.	SINGLE STORM INLET	3	EA	\$ 3,000.00	\$ 9,000.00
19.	STORM SEWER MANHOLE, CLOSED LID	1	EA	\$ 3,500.00	\$ 3,500.00
20.	CONNECT TO EXISTING STORM SEWER	2	EA	\$ 500.00	\$ 1,000.00
21.	DUCTILE IRON HYDRANT LEAD, 6"	10	FT	\$ 100.00	\$ 1,000.00
22.	REMOVE & REPLACE FIRE HYDRANT	1	EA	\$ 5,000.00	\$ 5,000.00
23.	WATER GATE VALVE & BOX, 6"	1	EA	\$ 2,000.00	\$ 2,000.00
24.	REMOVE & RE-SET STREET LIGHT & BASE	1	FT	\$ 2,500.00	\$ 2,500.00
25.	REMOVE & RE-SET STREET SIGN	2	EA	\$ 100.00	\$ 200.00
<b>BASE BID TOTAL: Items #1-#25</b>					<b>\$ 148,820.00</b>
<b>BID ALTERNATE #1 (MARKET ST. NORTH SIDEWALK)</b>					
26.	WATER SERVICE LINE, COPPER, 1"	120	FT	\$ 50.00	\$ 6,000.00
27.	WATER SERVICE CURB STOP & BOX, 1 INCH	6	EA	\$ 750.00	\$ 4,500.00
28.	WATER SERVICE CONNECTION	6	EA	\$ 400.00	\$ 2,400.00
29.	PAINT PAVEMENT MARKING - LETTERS AND	1	LS	\$ 1,000.00	\$ 1,000.00
30.	MISCELLANEOUS LANDSCAPING & RESTORATION	1	LS	\$ 500.00	\$ 500.00
3A.	UNCLASSIFIED EXCAVATION	1	LS	\$ 4,000.00	\$ 4,000.00
4A.	SIDEWALK & CURB REMOVAL	2,184	SF	\$ 2.00	\$ 4,368.00
5A.	BRICK PAVER REMOVAL & SALVAGE	1,919	SF	\$ 2.00	\$ 3,838.00
6A.	CRUSHED AGGREGATE LEVELING COURSE, 2"	177	SY	\$ 6.00	\$ 1,062.00
7A.	CRUSHED AGGREGATE BASE COURSE, 6"	520	SY	\$ 10.00	\$ 5,200.00
8A.	BREAKER RUN, 12"	262	SY	\$ 13.00	\$ 3,406.00
9A.	BRICK PAVER RE-INSTALLATION	1,590	SF	\$ 14.00	\$ 22,260.00
10A.	PORTLAND CEMENT CONCRETE SIDEWALK & ADA	1,836	SF	\$ 12.00	\$ 22,032.00
12A.	DECORATIVE SIDEWALK BOULEVARD	627	SF	\$ 18.00	\$ 11,286.00
13A.	PCC PROTECTIVE SEALANT	2,463	SF	\$ 1.00	\$ 2,463.00
14A.	CONCRETE CURB AND GUTTER, TYPE B.6-18, 6"	200	LF	\$ 50.00	\$ 10,000.00
<b>ALTERNATE #1 TOTAL: Items #26-#14A</b>					<b>\$ 104,315.00</b>
<b>TOTAL ESTIMATED COSTS (BASE BID + ALT. #1)</b>					<b>\$ 253,135.00</b>

2117 State Street  
Suite 200  
Bettendorf, IA 52722

P (563) 445-350  
TF (888) 732-632  
F (563) 445-350

www.msa-ps.com



## MINORITY BENEFIT/AFFIRMATIVE HOUSING STATEMENT

a. What is the percentage of the minority group(s) population residing in the community?	3.4 %
What is the percentage of the minority group(s) population residing in the proposed project (" <b>targeted</b> ") area?	3.4%
Identify the characteristics of the population of the project (targeted) area by specific ethnic group. This information may be obtained from the most recent Census Data or from the income survey if a survey was conducted. (If survey data is being used, and less than a 100% response rate was received, extrapolated data should be used, rounding fractions to whole numbers)	

Racial Group	Total Persons	# of Hispanic / Latino Ethnicity
White	400	
Black/African American	3	
Asian	0	
American Indian/Alaskan Native	2	
Native Hawaiian/Other Pacific Islander	0	
American Indian/Alaskan Native and White	0	
Asian and White	0	
Black/African American and White	0	
American Indian/Alaskan Native and Black/African American	0	
Other Individuals Reporting more than One Race	3	
# of Female Headed Households	0	

With the <u>exception of "Female Heads of Households"</u> , the above numbers should equal the total number of persons to benefit from the project ("targeted" area).	408
b. What is the goal for the percentage of CDBG funded contracts to be awarded to minority contractors?	3.4 %
c. If the percentage goal in <i>b</i> is <u>substantially less</u> than the percentage of minorities residing in the community, please explain. _____ _____	
d. If funded, the applicant agrees to affirmatively further fair housing by posting Fair Housing Posters and by making HUD Fair Housing Complaint Forms available to the public. In addition, the Department <u>recommends</u> that the unit of local government pass a Fair Housing Resolution. Please check one below: <input checked="" type="checkbox"/> We already have a Fair Housing Resolution on file. #2007-1-12 <input type="checkbox"/> If funded, we will pass a Fair Housing Resolution. <input type="checkbox"/> We do not plan to pass a Fair Housing Resolution at this time.	

Signature of Chief Elected Official: Core R. Biles Date 3-10-2020

**RESOLUTION OF SUPPORT AND COMMITMENT OF LOCAL FUNDS**

Resolution No. R3-2020-4

WHEREAS, the City of Mount Carroll, is applying to the State of Illinois for a Community Development Block Grant (CDBG) grant,

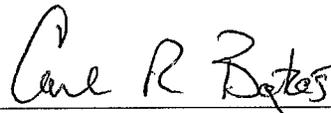
WHEREAS, it is necessary that an application be made and agreements be entered into with the State of Illinois, and

WHEREAS, criteria of CDBG are such that financial participation by the grantee is required in conjunction with CDBG funds.

NOW, THEREFORE, BE IT RESOLVED as follows:

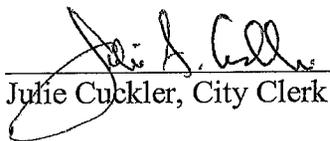
- 1) that the City apply for a grant under the terms and conditions of the State of Illinois and shall enter into and agree to the understandings and assurances contained in said application.
- 2) that the Mayor Carl Bates and City Clerk Julie Cuckler on behalf of the City of Mount Carroll shall execute such documents and all other documents necessary for the carrying out of said application.
- 3) that the Mayor Carl Bates and City Clerk Julie Cuckler are authorized to provide such additional information as may be required to accomplish the obtaining of such grant.
- 4) that the City of Mount Carroll does hereby commit funds from the Business Development District (BDD) Fund for use in conjunction with an Illinois Community Development Block Grant, such funds to equal 53% of the estimated total project cost of \$253,135.00, or \$133,358.00.

Passed this 10<sup>th</sup> day of March 2020



\_\_\_\_\_  
Carl Bates, Mayor

ATTEST:

  
\_\_\_\_\_  
Julie Cuckler, City Clerk

STATE OF ILLINOIS, )  
 ) ss.  
County of Carroll, )

THE  
MIRROR-DEMOCRAT COMPANY

Publishers of

Carroll County Mirror-Democrat

I, Robert W. Watson  
do hereby certify that I am one of the Editor-Publishers of the Carroll County Mirror-Democrat, a weekly secular, public newspaper published in the City of Mount Carroll, County of Carroll and State of Illinois; that said newspaper has been regularly published for more than six successive months prior to the first insertion of the annexed advertisement notice, and that the advertisement or notice here annexed relating to the matter of

City of Mt. Carroll

Public Hearing Notice

CDBG Project

has been published in said paper for 1 consecutive weeks, the first insertion being

26 February, 2020  
and the last insertion being

\_\_\_\_\_, 20\_\_\_\_  
which are the dates of the first and last page containing the same.

Given under my hand this 27th

day of February, 2020

Robert W. Watson

Editor-Publisher LW

Printer's Fee \$ 192<sup>00</sup>

Received Of \_\_\_\_\_

\$ \_\_\_\_\_ being the amount in full of our fees for publishing the annexed notice in Mt. Carroll, IL, \_\_\_\_\_, 20\_\_\_\_

# PUBLIC HEARING NOTICE

The City of Mount Carroll will hold a public hearing on Tuesday, March 10, 2020 at 7:30 PM, at City Hall located at 302 N. Main Street in Mount Carroll, to provide interested parties an opportunity to express their views on the proposed federal funded Community Development Block Grant (CDBG) project. Persons with disabilities or non-English speaking persons who wish to attend the public hearing and need assistance should contact the City at (815)244-4424, Mount Carroll City Hall, 302 N. Main Street, Mount Carroll IL 61053, no later than March 5, 2020. Every effort will be made to make reasonable accommodations for these persons.

On or about March 23, 2020, the City of Mount Carroll intends to apply to the Illinois Department of Commerce and Economic Development for a grant from the State CDBG program. This program is funded by Title 1 of the federal Housing and Community Development Act of 1974, as amended. These funds are to be used for a community development project that will include the following activities: ADA and sidewalk improvements in the downtown district. The total amount of CDBG funds to be requested is estimated to be \$119,777.16. The amount of CDBG funds proposed to be used for activities that will benefit low-to-moderate income persons is roughly \$119,777.16.

Information related to this project will be available for review prior to the public hearing as of March 2nd at the City Hall, 302 N. Main Street, Mount Carroll, Illinois 61053, between the hours of 9AM and 4PM. Interested citizens are invited to provide comments regarding these issues either at the public hearing or by written statement. Written comments should be submitted to Julie Cuckler, City Clerk, no later than March 5, 2020, in order to ensure placement of such comments in the official record of the public hearing proceedings. This project will result in the displacement of any persons or businesses. For additional information concerning the proposed project or grant program, please contact Sharon Pepin, Community Funding & Planning Services at 815-947-8224 or write to Sharon Pepin, 118 S. Main Street, Stockton, IL 61085.

*Published in the Mirror Democrat on February 26, 2020*

MINUTES OF THE MARCH 10, 2020 REGULAR MEETING OF THE MOUNT CARROLL CITY COUNCIL

Mr. Jeff Davis rang the bell to announce the start of the meeting.

RINGING OF BELL

Mayor Bates called the meeting to order at 7:30 p.m.

CALL TO ORDER

Roll call: Mayor Carl Bates, present, Aldermen Paul Kaczmarek, present, ROLL CALL

Doug Bergren, present, Kevin Powers, absent, Jeff Elliott, present, Mike Risko, present, and Joe Grim, present.

Alderman Risko led the Pledge of Allegiance.

Mr. Jeff Davis gave the invocation.

PUBLIC HEARING

Mayor Bates called the public hearing to order at 7:33 p.m. Ms. Jill Pepin of Community Funding and Planning Services, Inc. of Stockton, IL, was in attendance to facilitate the public hearing and present the grant application information for review.

Purpose of Public Hearing -

The City of Mount Carroll is holding a Public Hearing to obtain citizens' views on the submission of a Community Development Block Grant (CDBG) application from the Illinois Department of Commerce & Economic Opportunity (DCEO) for sidewalk and crosswalk improvements. This public hearing is a requirement of the grant program and is the first of two Citizen Participation Public Hearings. Specific program and project information is discussed below.

Range of Activities Funded Through These Grant Programs -

The Community Development Block Grant (CDBG) Program is funded by Title I of the Federal Housing & Community Development Act of 1974, as amended. Administered nationally through the US Department of Housing and Urban Development (HUD), the Act combined eight existing categorical programs into a single block grant program. Through this program, funds are available to assist Illinois communities meet their greatest economic and community development needs, with an emphasis upon helping persons of low-to-moderate income.

To ensure the State's CDBG program meets the intent of the Federal Housing & Community Development Act, Congress has required the program meet at least one of the following three national objectives: benefiting low-to-moderate income persons; aiding in the prevention or elimination of slums and blight; or meeting other community development needs that pose a serious and immediate threat to the health and welfare of the community.

To complement these federally-mandated objectives, DCEO has established the following specific objectives for the CDBG program: Strengthening community economic development through the creation of jobs, stimulation of private investment and strengthening the tax base; Improvement of public infrastructure and elimination of conditions which are detrimental to health, safety and public welfare; and, Conservation and expansion of the state's housing stock in order to provide a decent home and a suitable living environment for persons of low-to-moderate income and the developmentally disabled.

Additionally, in order to clear the 2013 HUD Monitoring Finding concerning Revolving Loan Funds (RLF), DCEO has determined the appropriate course of action was to close the RLF program, and to work with communities who have RLFs to provide flexibility in liquidating the remaining funds. For communities with RLF funds, they will have non-competitive access to CDBG grants for up to two projects totaling the amount of their RLF closeout account. Such projects must benefit at least one of the above three national objectives.

Amount of Funds Available -

Each year, the Illinois Department of Commerce & Economic Opportunity establishes funds for each of their CDBG program categories. This year, the state's allocation is estimated at \$29,192,836. A breakdown of each program category's funding allotment is as follows: Economic Development - \$4,000,000, maximum grant amount is \$1,000,000; Public Infrastructure - \$15,000,000, maximum Construction grant amount is \$550,000; Housing Rehabilitation - \$6,500,000, maximum grant amount is \$550,000; and Disaster Response - \$2,000,000, maximum grant amount is \$250,000; Approximately \$100,000 is set aside for State administration.

Communities with RLF funds will receive the full balance of the locally held RLF fund as indicated on the community's final RLF report. In addition to the standard DCEO CDBG programs, a RLF closeout account can be used for the following special purposes: Street Improvements, including street drains, storm drains, curb and gutter work, installation of street lights or signs; Sidewalk improvements, including installation of trash receptacles, trees, benches or lighting as part of a streetscape project; and Rehabilitation of publicly or privately owned commercial/industrial improvements to the exterior of a commercial building or to the correction of code violations.

Proposed Benefit To Low-and-Moderate Income Persons -

Per HUD's Community Planning & Development (CPD) 2014 Low-to-Moderate Income Summary Data (LMISD) website, the City's downtown district is located within Block Group 2 Census Tract 9604 for which there is a 38.33% low-to-moderate income (LMI) benefit. However, since the proposed project is an ADA application, the LMI criteria is not applicable.

Plans For Minimizing Displacement -

No displacement is anticipated as a result of this project.

Detailed Prioritized List of Community Development Needs –

Below is a list of public infrastructure, community and economic development-type projects the City has identified as priority projects:

- Wastewater Treatment Plant Construction
- Sanitary sewer collection system improvements
- Water main distribution system improvements
- Park improvements
- New Community Recreational Facility

The City's proposed CDBG Revolving Loan fund application is for the removal and replacement of non-ADA compliant sidewalk on the north side along E. Market Street from N. Clay to N. Main Streets, along with the crosswalk and ramps on the east corners of E. Market and N. Main Streets in the downtown district. New ADA compliant sidewalk, crosswalk and ramps will be constructed along with associated curb and gutter, storm sewer and brick roadway pavement. Along with the proposed sidewalk improvements, decorative bump-outs will be installed, which will allow for ADA ramp elevation transitions and streetscape features. A decorative boulevard is proposed to differentiate the pedestrian access route from the elevation transitions required to provide ADA compliant sidewalks and maintain adequate drainage. The existing brick roadway pavers will be salvaged and reinstalled within the parking areas. Other miscellaneous work includes utility adjustments/replacement as required to facilitate the work.

Mount Carroll had a Community Development Revolving Loan Fund that was established in 2004 and was closed in December 2018. Throughout the life of the RLF, the City completed two infrastructure projects and entered into four loan agreements.

The proposed project is estimated to cost roughly \$253,135, and the City is applying to DCEO for a CDBG RLF grant in the amount \$119,777.16, which represents 100% of the City's RLF funds. Project activities undertaken with the CDBG RLF funds entails construction costs. The amount of CDBG funds proposed to be used for construction that will benefit low-to-moderate income persons is roughly \$119,777.16.

The public may access Mount Carroll's Community Development Block Grant application by contacting the City Hall at 815-244-4424 to arrange a time. A complete copy of the grant application will be available at City Hall after March 23, 2020.

Questions / Comments.

Mayor bates asked about the timeline going forward. Ms. Pepin reported that the application would be submitted at the end of this month. After that the Special Grant Conditions would be compiled and submitted for the final review. That could take up to four months before the City could consider advertising for construction.

No other comments or questions were presented to the council. Alderman Grim moved and Alderman Elliott seconded to pass resolution No. R3-2020-4, RESOLUTION OF SUPPORT AND COMMITMENT OF LOCAL FUNDS. Roll call vote: Aldermen Kaczmariski, yes, Bergren, no. Elliott, yes, Risko, yes, Grim, yes, and Powers, absent. Vote: 4 yeses, 1 no and 1 absent. The resolution was adopted by majority vote of the council members in attendance.

Mayor Bates adjourned the public hearing to the city council regular meeting at 7:50 p.m.

Alderman Grim moved and Alderman Risko seconded to approve the MINUTES minutes of the February 25, 2020 regular meeting as presented. Roll call vote: Aldermen Bergren, yes, Elliott, yes, Risko, yes, Grim, yes, Kaczmariski, yes, and Powers, absent. Vote: 5 yeses, 0 noes, and 1 absent. The motion was carried.

Alderman Kaczmariski moved and Alderman Elliott seconded to approve ACCOUNTS PAYABLE the payment of the accounts payable to date for the month of March 2020 as presented. Roll call vote: Aldermen Elliott, yes, Risko, yes, Grim, yes, Kaczmariski, yes, Bergren, yes, and Powers, absent. Vote: 5 yeses, 0 noes, and 1 absent. The motion was carried.

Mayor Bates reported that the bank account balances were totaling \$1,503,389.64 as of today.

FINANCIAL REPORT COMMITTEES

Alderman Elliott reported of the March 10<sup>th</sup> streets committee meeting:

STREETS

1. Superintendent Dauphin reported of the current projects being completed such as street sweeping, spring clean up at the cemetery and trimming and removing some of the trees at the cemetery.

2. Alderman Risko presented the proposed expense budget review BUDGET completed for the streets department, noting the increase from last year's expenses of \$339,000 to \$415,700 was in consideration of the salary increases to meet the new minimum wage increases and the health insurance line item. Then presented the proposed community house expenses at \$5,950 which would be less than last year that was set at \$7,350. He then presented the revenues for the cemetery fund at \$13,000 and the expenses at \$24,150. In reviewing the park fund budget there could be a revision once there were more details about the maintenance and improvements requested from the summer baseball program committee. The totals for the proposed revenues were at \$14,700 and the expenses at \$21,750. Alderman Elliott presented the streets committee recommendation to approve the proposed budgets presented for the street department, community house department, the cemetery fund and the park with the contingency

to review the park fund before the final draft. Roll call vote: Aldermen Risko, yes, Grim, yes, Kaczmarski, yes, Bergren, no, Elliott, yes, and Powers, absent. Vote: 4 yeses, 1 no and 1 absent. The motion was carried.

Alderman Kaczmarski reported of the March 10<sup>th</sup> finance committee meeting: FINANCE

1. Reviewed and approved the accounts payable with 29 claims totaling \$890,577.33.
2. Alderman Kaczmarski presented the finance committee recommendation to approve to end the six-month probationary period for Police Chief Scott Marth, Deputy Clerk/Treasurer Lisa Lewis and Water and Sewer Operator Alex Handel. Roll call vote: Aldermen Grim, yes, Kaczmarski, yes, Bergren, yes, Elliott, yes, Risko, yes, and Powers, absent. Vote: 5 yeses, 0 noes and 1 absent. The motion was carried.
3. Alderman Kaczmarski presented the finance committee recommendation to approve the contribution of \$2,500 from the band fund to the Mount Carroll Mayfest 2020. Roll call vote: Aldermen Kaczmarski, yes, Bergren, yes, Elliott, yes, Risko, yes, Grim, yes, and Powers, absent. Vote: 5 yeses, 0 noes, and 1 absent. The motion was carried.
4. Alderman Kaczmarski presented the finance committee recommendation to approve the payment of up to \$100 to purchase items for 2 auction give-away baskets to be used for the Library Trivia Night Fundraiser for March 21<sup>st</sup>. Roll call vote: Aldermen Bergren, here, Elliott, yes, Risko, yes, Grim, yes, Kaczmarski, yes, and Powers, absent. Vote: 4 yeses, 1 here, 0 noes, and 1 absent. The motion was carried.

Alderman Bergren reported of the March 10<sup>th</sup> police committee meeting: POLICE

1. The committee reviewed the monthly activity report with Chief Marth.
2. Alderman Risko presented the budget expenses proposed for the police department for the next fiscal year totaling \$292,100. Alderman Bergren presented the police committee recommendation to approve the proposed budget for the police department as presented. Roll call vote: Aldermen Elliott, yes, Risko, yes, Grim, yes, Kaczmarski, yes, Bergren, yes, and Powers, absent. Vote: 5 yeses, 0 noes, and 1 absent. The motion was carried.

Under old business Mayor Bates reported he had met with the city yesterday to discuss the pending items and review the Davis Trust. OLD BUSINESS

Mayor Bates noted the status hearing date on the Davis Trust that was on March 10<sup>th</sup> was changed to April 27<sup>th</sup>. Alderman Risko stated he would let everyone know what dates could be scheduled to visit the Byron and Oregon community centers and he would get more information from the planner that worked with the Plainfield, IL community. DAVIS TRUST

Alderman Risko moved and Alderman Kaczmarski seconded to approve the 2.5% rate increase request from Moring Disposal, Inc. for waste removal service for the City's residential customers. Roll call vote: Aldermen Risko, yes, Grim, yes, Kaczmarski, yes, Bergren, yes, Elliott, yes, and Powers, absent. Vote: 5 yeses, 0 noes, and 1 absent. The motion was carried.

Noted this would be a \$.31 increase per residence per month from \$12.70 to \$13.01. MORING DISPOSAL

Alderman Risko moved and Alderman Grim seconded to approve the purchase of seven (7) new council table seating chairs at the cost of \$369.00 each from SBM Business Equipment of Sterling, IL. (Total cost of \$2,583.00) Roll call vote: Aldermen Grim, yes, Kaczmarski, yes, Bergren, no, Elliott, yes, Risko, yes, and Powers, absent. Vote: 4 yeses, 1 no and 1 absent. The motion was carried. Mayor Bates stated that the other departments of the City would be using the current chairs. COUNCIL CHAIRS

Alderman Elliott moved and Alderman Risko seconded to approve the IEPA Loan Funds Request #8 in the amount of \$358,030.87 that is inclusive of the CFPS, Inc. Invoice #3 in the amount of \$3,000.00, the MSA Professional Services, Inc. Invoice #10 in the amount of \$39,920.95 and the Leander Construction Pay Application #6 in the amount of \$315,109.91. Roll call vote: Aldermen Kaczmariski, yes, Bergren, yes, Elliott, yes, Risko, yes, Grim, yes, and Powers, absent. Vote: 5 yeses, 0 noes, and 1 absent. The motion was carried.

IEPA LOAN

After discussing the Change Order No. 1 for the Wastewater Treatment Facility and Center Street Lift Station Project with the decrease of \$28,607.38 to the contract cost, Alderman Kaczmariski moved and Alderman Elliott seconded to table this matter to the next meeting. Roll call vote: Aldermen Bergren, yes, Elliott, yes, Risko, yes, Grim, yes, Kaczmariski, yes, and Powers, absent. Vote: 5 yeses, 0 noes, and 1 absent. The motion was carried.

CHANGE ORDER

Under general audience Mr. Len Anderson reported of the stone house improvements. He noted they would need about 4 more loads of dirt to level more of the ground. He asked whether the speed limit signs along IL Route 78 could be relocated near the State Street intersection.

GENERAL AUDIENCE

Alderman Risko completed the general fund proposed budget review. The total revenues for the general fund were estimated at \$672,950 and the administration department expenses were set at \$212,400. Alderman Elliott moved and Alderman Risko seconded to approve the proposed general fund budget revenues and expenses as presented. Roll call vote: Aldermen Elliott, yes, Risko, yes, Grim, yes, Kaczmariski, yes, Bergren, yes, and Powers, absent. The motion was carried.

BUDGET

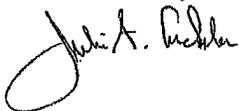
Under correspondence Mayor Bates reported of the following:

1. Mediacom Communication Corporation regarding channel lineup changes
2. Moring Disposal, Inc. report of recycling materials picked up for the month of January 2020, Total \$15,320 pounds

Alderman Grim moved and Alderman Elliott seconded to adjourn the meeting. Roll call vote: Aldermen Risko, yes, Grim, yes, Kaczmariski, yes, Bergren, yes, Elliott, yes, and Powers, absent. Vote: 5 yeses, 0 noes, and 1 absent. The motion was carried. Mayor Bates adjourned the meeting at 8:23 p.m.

ADJOURNMENT

Submitted by City Clerk Julie A. Cuckler





## LOCAL GOVERNMENT CERTIFICATIONS

On this 10<sup>th</sup> of March 2020, the Mayor Carl R. Bates of the City of Mount Carroll hereby certifies to the Department of Commerce and Economic Opportunity in regard to an application and award of funds through the Community Development Block Grant that:

1. It will comply with the National Environmental Policy Act (NEPA) with the submission of this application and it further certifies that no aspect of the project for assistance has or shall commence prior to the award of funds to the community and the receipt of an environmental clearance.
2. It will comply with the Interagency Wetland Policy Act of 1989 including the development of a plan to minimize adverse impacts on wetlands, or providing written evidence that the proposed project will not have an adverse impact on a wetland.
3. It will comply with the Illinois Endangered Species Protection Act and the Illinois Natural Area Preservation Act by completing the consultation process with the Endangered Species Consultation Program of the Illinois Department of Natural Resources, or providing written evidence that the proposed project is exempt.
4. It will identify and document all appropriate permits necessary to the proposed project, including, but not limited to: building, construction, zoning, subdivision, IEPA and IDOT.
5. No legal actions are underway or being contemplated that would significantly impact the capacity of the City of Mount Carroll to effectively administer the program, and to fulfill the requirements of the CDBG program.
6. It will coordinate with the County Soil and Water Conservation District regarding standards for surface and sub-surface (tile) drainage restoration and erosion control in the fulfillment of any project utilizing CDBG funds and involving construction.
7. It is understood that the obligation of the State will cease immediately without penalty of further payment being required if in any fiscal year the Illinois General Assembly or federal funding source fails to appropriate or otherwise make available sufficient funds for this agreement.
8. It acknowledges the applicability of Davis-Bacon prevailing wage rate requirements to construction projects; a wage rate determination must be obtained prior to commencement of any construction or equipment installation; and, it shall discuss these requirements with the contractor.
9. It will comply with Section 3 of the Housing and Urban Development Act of 1968 to ensure that employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, and consistent with existing federal, state, and local laws and regulations, be directed to low and very low-income persons and businesses.
10. It certifies that no occupied or vacant occupiable low-to-moderate income dwellings will be demolished or converted to a use other than low-to-moderate income housing as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended.
11. It will conduct a Section 504 self-evaluation of its policies and practices to determine whether its employment opportunities and services are accessible to persons with disabilities.
12. It will comply with 2CFR.200 and applicable areas of Illinois' Grant Accountability and Transparency Act (GATA).
13. The area, in whole or in part, in which project activities will take place, **IS** or **IS NOT** (circle one) located in a floodplain.

**A FEMA Floodplain map is included in the application (as required) and is located on Page 14**

If yes, does it participate in the National Flood Insurance Program?      Yes  No

If no, provide an explanation as to why it does not participate: \_\_\_\_\_

\_\_\_\_\_  
Signature of Chief Elected Official

3-10-2020  
\_\_\_\_\_  
Date

## Request for Taxpayer Identification Number and Certification

Give form to the  
 requester. Do not  
 send to the IRS.

Print or type See Specific Instructions on page 2:	Name (as shown on your income tax return) <b>City of Mount Carroll</b>	
	Business name, if different from above	
	Check appropriate box: <input type="checkbox"/> Individual/Sole proprietor <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶ ----- <input type="checkbox"/> Exempt payee <input type="checkbox"/> Other (see Instructions) ▶	
	Address (number, street, and apt. or suite no.) <b>302 N. Main Street</b>	Requester's name and address (optional)
	City, state, and ZIP code <b>Mount Carroll, Illinois 61053</b>	
List account number(s) here (optional)		

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
or
Employer identification number
36    6006009

### Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

<b>Sign Here</b>	Signature of U.S. person ▶ <i>Carl R. Bates</i>	Date ▶ <b>3-10-2020</b>
------------------	---	-------------------------

### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

#### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,



A NEW WAY TO SIGN IN - If you already have a SAM account, use your SAM email for login.gov.

Home

Login.gov FAQs

ALERT: SAM.gov will be down for scheduled maintenance Saturday, 04/11/2020 from 8:00 AM to 1:00 PM

### Entity Dashboard

MOUNT CARROLL, CITY OF  
 DUNS: 171029572 CAGE Code: 511JK6  
 Status: Active  
 Expiration Date: 11/14/2020  
 Purpose of Registration: Federal Assistance Awards Only

302 N MAIN ST  
 MOUNT CARROLL, IA 50851-0004  
 UNITED STATES

- ▶ [Entity Overview](#)
- ▶ [Entity Registration](#)
  - ▶ [Core Data](#)
  - ▶ [Assertions](#)
  - ▶ [Reps & Certs](#)
  - ▶ [POCs](#)
- ▶ [Exclusions](#)
  - ▶ [Active Exclusions](#)
  - ▶ [Inactive Exclusions](#)
  - ▶ [Excluded Family Members](#)

#### Entity Overview

##### Entity Registration Summary

**Name:** MOUNT CARROLL, CITY OF  
**Business Type:** US Local Government  
**Last Updated By:** Julie Cuckler  
**Registration Status:** Active  
**Activation Date:** 11/15/2019  
**Expiration Date:** 11/14/2020

##### Exclusion Summary

Active Exclusion Records? No

[RETURN TO SEARCH](#)



IBM-P-20200527-1955  
WWW7

- [Search Records](#)
- [Disclaimers](#)
- [LAW ENFORCEMENT](#)
- [Data Access](#)
- [Accessibility](#)
- [GSA.gov 11/17](#)
- [Check Status](#)
- [Privacy Policy](#)
- [GSA.gov](#)
- [About](#)
- [USA.gov](#)
- [Help](#)

This is a U.S. General Services Administration Federal Government computer system that is "FOR OFFICIAL USE ONLY." This system is subject to automatic declassification and performing unauthorized activities are subject to disciplinary action including criminal prosecution.



Department of the Treasury  
Internal Revenue Service  
Cincinnati, OH 45999

In reply refer to: 0242958432  
Feb 06, 2013 LTR 147C  
36-6006009

CITY OF MT CARROLL  
302 N MAIN ST  
MOUNT CARROLL IL 61053-1024 026

Taxpayer Identification Number: 36-6006009

Form(s):

Dear Taxpayer:

This letter is in response to your telephone inquiry of February 6th, 2013.

Your Employer Identification Number (EIN) is 36-6006009. Please keep this number in your permanent records. You should enter your name and your EIN, exactly as shown above, on all business federal tax forms that require its use, and on any related correspondence documents.

If you have any questions regarding this letter, please call our Customer Service Department at 1-800-829-0115 between the hours of 7:00 AM and 7:00 PM. If you prefer, you may write to us at the address shown at the top of the first page of this letter. When you write, please include a telephone number where you may be reached and the best time to call.

Sincerely,

Customer Service  
0196195  
Customer Service Representative

# Illinois Grant Accountability and Transparency Act Grantee Portal - Main Menu

Sign out

If your organization has a FEIN validation issue, please email OMB.GATA@illinois.gov. Include your GATA ID number in the email communication.

## The following items require action

An audit review is pending your action. Please [scroll down](#) to the 'Audit Review' section.

Organizations: [City of Mount Carroll](#) [Edit](#)

GATA ID: **686323**

State cognizant agency: **Commerce And Econ Opp (420)**

Contact for assistance: [ceo.gata@illinois.gov](mailto:ceo.gata@illinois.gov)

You are signed in as: **jillcfps (jill@cfps-inc.com)**

## Notes and Comments [Add Note](#)

Post notes for other portal users in your organization. State agency staff can also view and create notes.

## Pre-Qualification Status

**Your organization is currently in good standing with all pre-qualification requirements.**

Requirement	Status	Remediation
SAM.gov Account	Good	<a href="#">Help</a>
Federal Employer ID (FEIN)	Good	<a href="#">Help</a>
Federal Excluded Parties List	Good	<a href="#">Help</a>
Illinois Secretary of State	Good	<a href="#">Help</a>

Illinois Stop Payment List	Good	<a href="#">Help</a>
Illinois DHFS Sanction List	Good	<a href="#">Help</a>

Your organization must remain in good standing in all pre-qualification requirements. The status of these requirements are validated nightly. Notifications when changes occur to the pre-qualification status can be emailed to Grantee Portal Access users by clicking the "Manage" button below.

### Fiscal and Administrative Internal Controls Questionnaire (ICQ)

[Create an FY 2020 ICQ](#) [Create an FY 2021 ICQ](#)

ICQ	State FY	Date Started	Date Submitted	Date Accepted
<a href="#">View</a>	2019	09-05-2018	09-05-2018	09-10-2018
<a href="#">View</a>	2018	07-12-2017	07-12-2017	07-17-2017
<a href="#">View</a>	2017	11-18-2016	04-28-2017	04-28-2017

An ICQ is required for each state fiscal year your organization does business with the State of Illinois. For example, state FY 2018 which begins on 7/1/2017 and runs through 6/30/2018 requires an FY 2018 ICQ. Notifications when changes occur to an ICQ status can be emailed to Grantee Portal Access users by clicking the "Manage" button below.

### Notice Of State Awards (NOSA)

No pending Notice of State Awards.

[Click to view previous Notice of State Awards](#)

Notice of State Awards require the ability to view PDF files. [Click here](#) for assistance.

### Active Audit Reviews

Audit	Audit ID	Status	Status Description
<a href="#">View</a>	16309	CYEFR Past Due	The Consolidated Year-End Financial Report is past due.
<a href="#">View</a>	10785	Under Review	The audit package is currently under review.

[Click to view a list of all audit reviews](#)

[Federal Uniform Guidance and Illinois GATA audit requirements](#)

[Audit Report Review Manual](#)

**Grantee Portal Access****Manage**

User Name	First Name	Last Name	Email	Portal Access
jillcfps	Jill	Pepin	jill@cfps-inc.com	04-03-2020 10:32 AM
chieffcass	Fred	Cass	mcpd@jcwifi.com	05-15-2018 12:09 PM
jcuckler	Julie	Cuckler	mtcarroll@jcwifi.com	06-28-2019 01:34 PM

To manage alerts and notifications, click the "Manage" button then the "Select" button next to the user.

**Organization Contacts****Manage**

Name	Title	Email	Roles	Responsibilities
Julie Cuckler	Clerk	mtcarroll@jcwifi.com	Fiscal Officer	Indirect cost rate

For questions or problems first [click here](#) to refer to the [Grantee Portal FAQs](#)  
 For other issues please email [ceo.gata@illinois.gov](mailto:ceo.gata@illinois.gov) with the subject "Grantee Portal"



B02001

RACE

Universe: Total population

2013-2017 American Community Survey 5-Year Estimates

Supporting documentation on code lists, subject definitions, data accuracy, and statistical testing can be found on the American Community Survey website in the Technical Documentation section.

Sample size and data quality measures (including coverage rates, allocation rates, and response rates) can be found on the American Community Survey website in the Methodology section.

Although the American Community Survey (ACS) produces population, demographic and housing unit estimates, it is the Census Bureau's Population Estimates Program that produces and disseminates the official estimates of the population for the nation, states, counties, cities, and towns and estimates of housing units for states and counties.

	Block Group 1, Census Tract 9601, Carroll County, Illinois		Block Group 2, Census Tract 9601, Carroll County, Illinois		Block Group 3, Census Tract 9601, Carroll County, Illinois
	Estimate	Margin of Error	Estimate	Margin of Error	Estimate
Total:	1,081	+/-165	574	+/-85	927
White alone	1,058	+/-169	557	+/-82	896
Black or African American alone	0	+/-11	0	+/-11	13
American Indian and Alaska Native alone	0	+/-11	0	+/-11	4
Asian alone	0	+/-11	17	+/-21	14
Native Hawaiian and Other Pacific Islander alone	0	+/-11	0	+/-11	0
Some other race alone	4	+/-8	0	+/-11	0
Two or more races:	19	+/-19	0	+/-11	0
Two races including Some other race	18	+/-19	0	+/-11	0
Two races excluding Some other race, and three or more races	1	+/-4	0	+/-11	0

	Block Group 1, Census Tract 9604, Carroll County, Illinois		Block Group 2, Census Tract 9604, Carroll County, Illinois		Block Group 3, Census Tract 9604, Carroll County, Illinois
	Estimate	Margin of Error	Estimate	Margin of Error	Estimate
Total:	761	+/-154	408	+/-72	861
White alone	761	+/-154	400	+/-70	856
Black or African American alone	0	+/-11	3	+/-5	0
American Indian and Alaska Native alone	0	+/-11	2	+/-4	0
Asian alone	0	+/-11	0	+/-11	0
Native Hawaiian and Other Pacific Islander alone	0	+/-11	0	+/-11	0
Some other race alone	0	+/-11	0	+/-11	0
Two or more races:	0	+/-11	3	+/-7	5
Two races including Some other race	0	+/-11	0	+/-11	0
Two races excluding Some other race, and three or more races	0	+/-11	3	+/-7	5

## ANALYSIS OF BENEFIT TO LOW-TO-MODERATE INCOME PERSONS

Activity*	Total # of Persons Activity Will Serve (Survey Worksheet – Line 16)	# of Low - Moderate Income Persons Activity Will Serve (Survey Worksheet – Line 14)	% of Persons Served who have Low - Moderate Income (Survey Worksheet – Line 17)	Amount of CDBG Funds Requested for the Activity	Amount of CDBG Funds to Benefit Low - Moderate Income Persons
O3L Sidewalk Improvements	408	408	100%	\$119,777.16	\$119,777.16

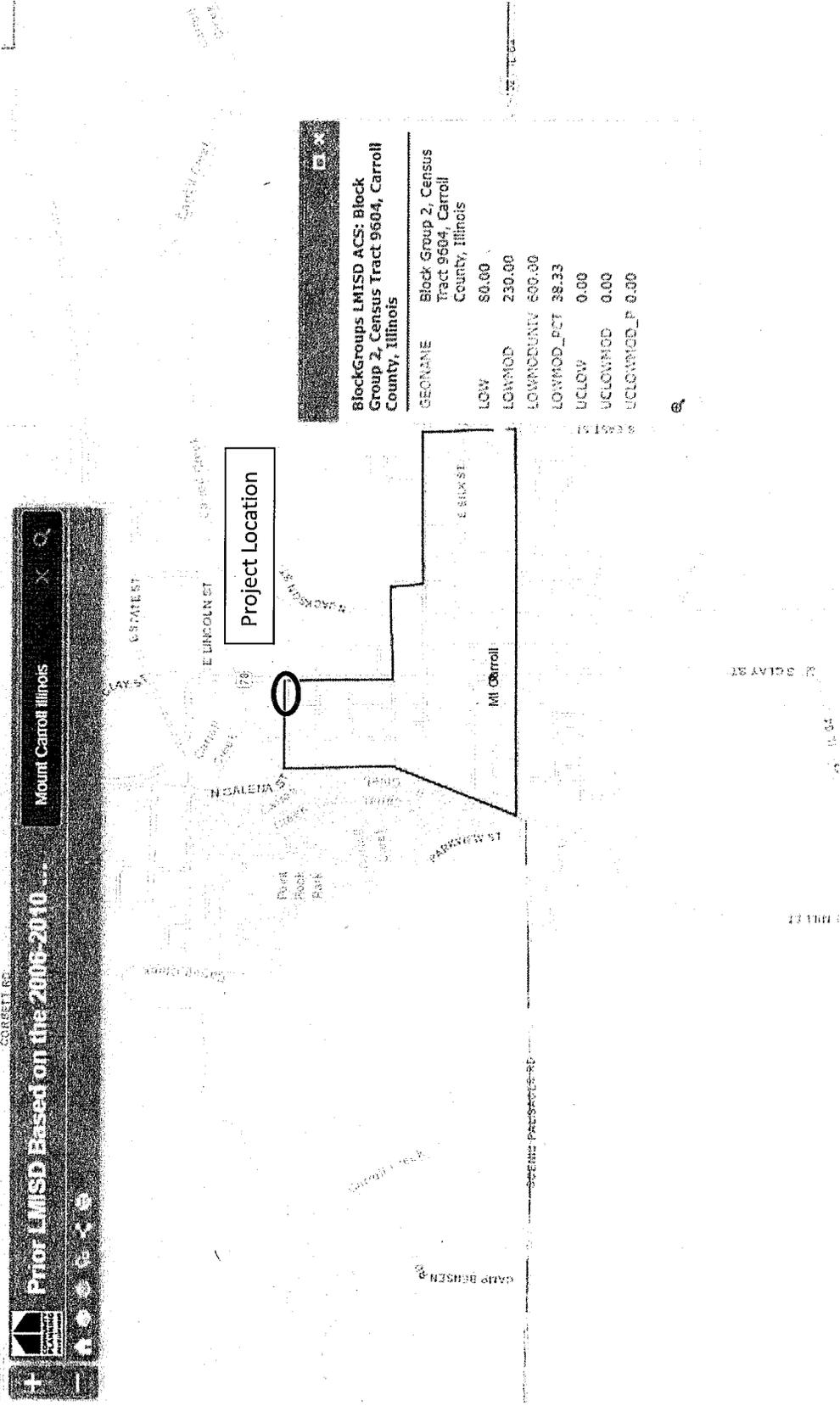
### **Methodology**

Please check the appropriate box below.

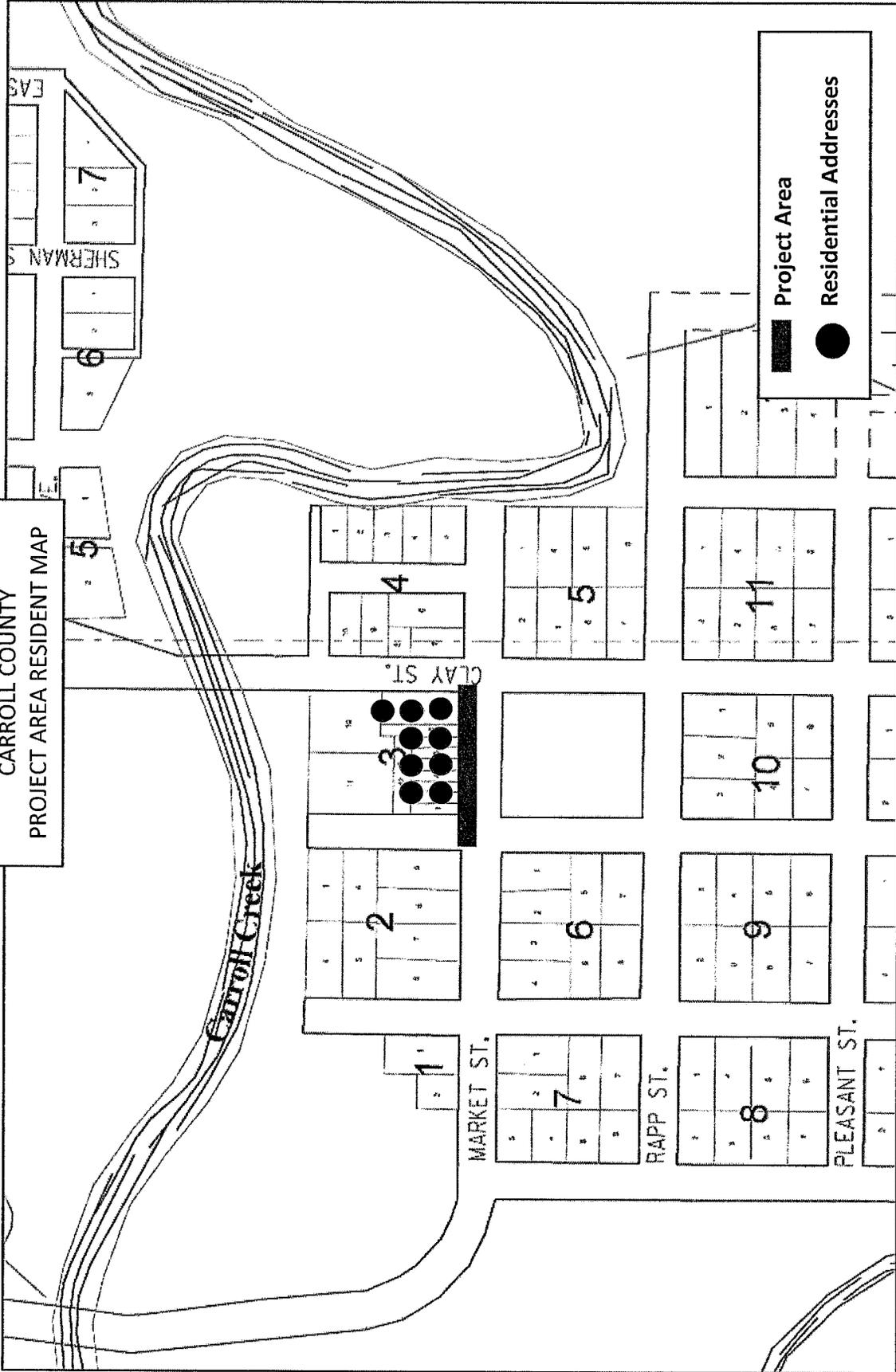
1.  **U. S. Census Data calculated by HUD:** Applicants must request this information from DCEO prior to application preparation.
2.  **All (100%) of Community/Target Area Survey:** The entire (100%) population of the community or target area must be surveyed with a minimum 75% usable survey response rate.
3.  **Random Sample Survey:** Applicants must receive prior written approval from DCEO to conduct a random sample survey. A copy of the letter of approval from DCEO should be included in the application.

\*Each major activity should be detailed separately when the number of persons benefiting varies from activity to activity. For example, water tower construction and water main replacement in a targeted area should be detailed as two separate activities, because they benefit differing numbers of persons. Low-to-moderate income connections would be another example of an activity that should be detailed separately.

CITY OF MOUNT CARROLL  
 CDBG /RLF DOWNTOWN SIDEWALK/CROSSWALK ADA PROJECT LOCATION MAP



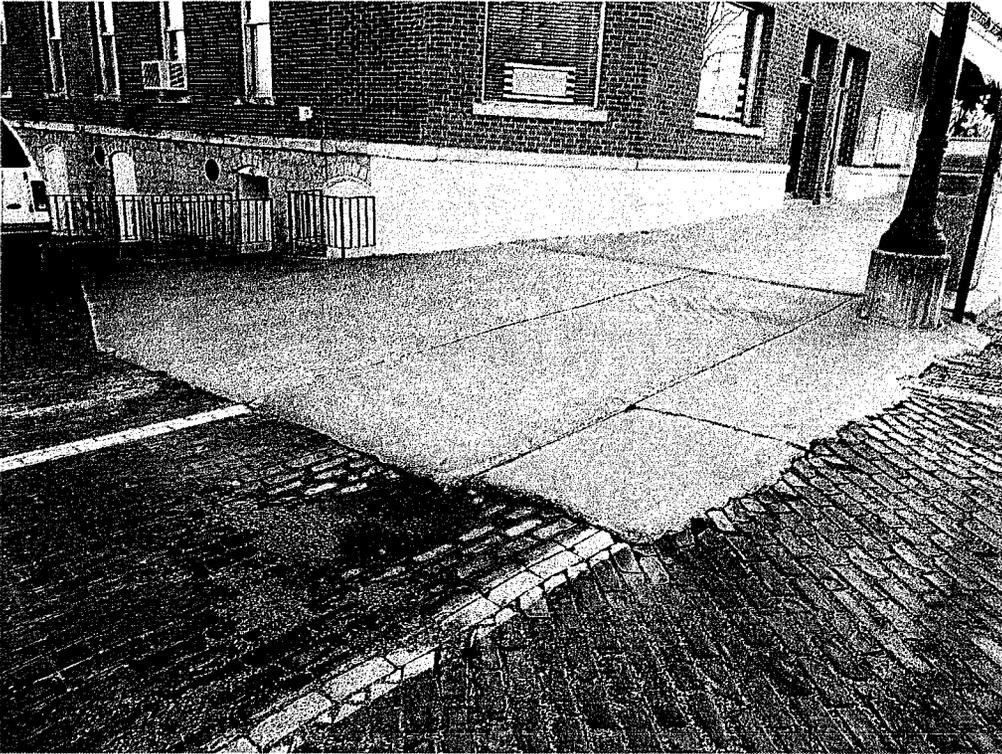
CITY OF MT CARROLL  
 CARROLL COUNTY  
 PROJECT AREA RESIDENT MAP



# CITY OF MT CARROLL

## DOWNTOWN SIDEWALK IMPROVEMENT PROJECT

### DOWNTOWN PROJECT PHOTOS



Northeast corner of Market and Main in the downtown. Crosswalk is crumbling and is currently not ADA accessible





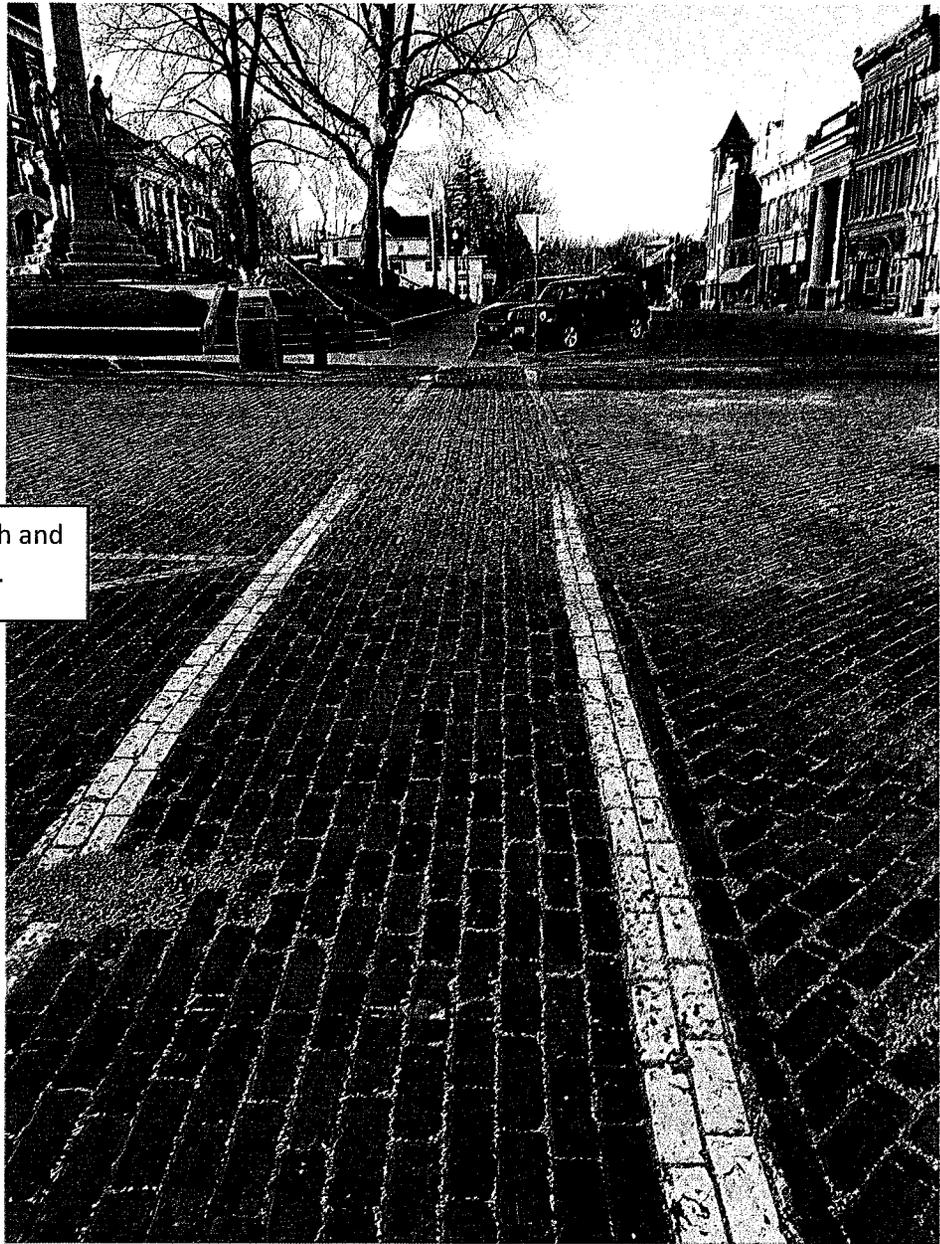
North side of Market Street,  
current sidewalk. Sidewalk is  
uneven and heaving.



Southwest corner of Market  
and Main in the downtown.  
Crosswalk is brick and  
crumbling, and is currently  
not ADA accessible



Southwest corner of Market and Main in the downtown. Crosswalk is brick and crumbling, and is currently not ADA accessible



Crosswalk between the North and South sides of Market Street.



**Illinois**  
**Department of Commerce**  
& Economic Opportunity

OFFICE OF COMMUNITY DEVELOPMENT

JB Pritzker, Governor

February 20, 2019

**RECEIVED**

FEB 27 2019

**CITY OF MT CARROLL**

Honorable Carol R. Bates  
Mayor, City of Mount Carroll  
302 N. Main Street  
Mount Carroll, IL 61053

Dear Mayor Bates,

The Illinois Department of Commerce and Economic Opportunity has received the following documents from Mount Carroll:

1. Final RLF Report;
2. Letter from Chief Elected Official closing the RLF account;
3. Check in the amount of the final RLF account balance; and,
4. Bank confirmation the RLF account is closed.

Receipt of these documents officially closes Mount Carroll's CDBG Revolving Loan Fund.

Mount Carroll therefore has available in the form of non-competitive CDBG funds \$119,777.16 as the initial balance of the City's RLF Closeout Account Credit. These funds may be used on up to two (2) CDBG-eligible projects as outlined in the Revolving Loan Fund Closeout Program Guidebook, which are to be obligated by the Department prior to December 31, 2020.

Sincerely,

Erin Guthrie, Acting Director  
IL Department of Commerce & Economic Opportunity

David Wortman, Deputy Director  
Office of Community Development

cc: File  
Christi DeGroot, Accounting Manager – Financial Management, DCEO